



### GENERAL INFORMATION

- 1.1 The detailed information of the admission procedure will be displayed on the University website. Candidates are advised to check the University website regularly for updates.
- 1.2 After declaration of the merit list a copy of the list will be displayed on the University website. The candidate himself/herself will have to check his/her merit number from the merit list. The merit list will not be published in the newspaper.
- 1.3 All fees deposited at the time of registration will not be refunded under any circumstances.
- 1.4 As directed by the Hon'ble Supreme Court, action will be taken against the students who indulge in incidence like ragging during studentship.
- 1.5 Those candidates who have been expelled from any University/College/Institution or found guilty under Indian Penal Code are not eligible for admission to any course in the University of Lucknow.
- 1.6 Candidates claiming benefit of reservation shall have to produce the category certificate which could be verified through Government website.
- 1.7 Candidates claiming benefit of **Zero Fees** shall have to produce the Income Certificate which could be verified through Government website.
- 1.8 All original certificates have to be produced at the time of interview. Photocopies or internet copies and provisionals will not be considered.
- 1.9 The final seat matrix would be prepared department wise as per the Government reservation policy.
- 1.10 If you want benefit of reservation or zero fees, then give the details of the related certificates during the filling of the Online application form. No candidate will get the permission for any change in weightage or reservation after the form is submitted.
- 1.11 Candidate shall be entitled to weightage (if any) on the merit index.
- 1.12 The Scheduled Castes/ Scheduled Tribes and Other Backward Classes (Non-Creamy Layer) candidates originally belonging to Uttar Pradesh will be given the benefit of reservation. Scheduled Castes/ Scheduled Tribes and Other Backward Classes (Non-Creamy Layer) candidates of other states will be treated as general.
- 1.13 All admissions are provisional. University reserves the right to cancel any admission at any stage.
- 1.14 In case of any matter relating to the Ph.D. Admissions, the decision of the Vice-Chancellor/Admission Committee, University of Lucknow shall be final and binding.
- 1.15 All the legal matters pertaining to the Ph.D. Admissions shall be subject to the Lucknow Jurisdiction only.



## 2. ELIGIBILITY

- 2.1 Candidates for admission to the Ph.D programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.
- 2.3 Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.
- 2.4 It is the sole responsibility of the applicant to go through the admission guidelines and LU Ph.D. Ordinance 2020 and check his/ her eligibility at the time of application. The University will not be verifying the eligibility at the time of submission of online application by the applicant. All candidates who have applied will be allowed to appear in the entrance test. The eligibility will be verified by the University at the time of interview.



### FULL TIME Ph.D. PROGRAMME

#### 3. DURATION

- 3.1 Ph.D. FULL TIME programme shall be for a minimum duration of three years, including course work and a maximum of six years from the date of admission.
- 3.2 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the University of Lucknow.

#### 4. PROCEDURE FOR ADMISSION

- 4.1 As per the LU Ph.D. Ordinance 2020 the admission would be done on the basis of Research Entrance Test (RET) to be conducted by the University of Lucknow followed by an interview.
- 4.2 A candidate seeking admission to the PhD programme shall be required to have secured at least 50% marks in RET.
- 4.3 There will be 5% relaxation in marks for OBC/SC/ST candidates i.e. 45% will be minimum qualifying marks for OBC/SC/ST candidates.
- 4.4 The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific.
- 4.5 The syllabus of the subject specific part will be the same as prescribed for the PG Programs of the University of Lucknow.
- 4.6 All candidates who qualify the RET with the minimum prescribed cutoff have to attend the interview.
- 4.7 Candidates have to report for interview at the stipulated date and time which will be declared on the University website. No individual letter would be issued for this purpose.
- 4.8 Candidates who qualify the RET and appear in the interview will be considered for the final merit.
- 4.9 Candidates who qualify the RET but do not appear for the interview will not be considered for the final merit.
- 4.10 The marks of qualified candidates and non qualified candidates will be displayed only after the declaration of the final merit list. This is being done to remove the value judgment or bias from the interview board.

#### 5. MERIT LIST PREPARATION

- 5.1 The overall merit list would be prepared on basis of marks obtained in the written test and interview. This list would be displayed on the University's website as well as on the departmental/ Institute's Notice Board.
- 5.2 In the final merit candidates would be given a weightage of 70 % to the entrance test and 30% to the performance in the interview.



- 5.3 If two or more candidates have secured equal marks in the final merit list, then the rank for admission will be determined as follows:-
- 5.4.1 If the merit Index of candidates are same in such cases first preference will be given to the candidate who secures higher percentage of marks in PG examination.
- 5.4.2 If the marks secured in PG are also same, in such cases first preference will be given to the candidate who secures higher percentage of marks in graduation examination.
- 5.4.3 If the marks secured in graduation examination are also same, in such cases first preference will be given to the candidate who secures higher percentage of marks in class 12 or equivalent examination.
- 5.4.4 If the marks secured in class 12 or equivalent examination are also same, in such cases first preference will be given to the candidate who secures higher percentage of marks in High School or equivalent examination.
- 5.4.5 If the marks obtained at the High School or equivalent examination are also same, then in that case the older candidate will be given priority.

## 6. PART-TIME PH.D PROGRAMME

- 6.1 Part-time PhD will be allowed provided all the other conditions mentioned in the PhD Ordinance 2020 are met.
- 6.2 A candidate shall be considered as a part-time research scholar if he/she is employed and has submitted a NOC from the Head of the Institution/Organization where he/she is employed.
- 6.3 **Duration:** The part-time research scholar shall stay at the place of research work for a minimum of 6 days per semester (residence period) during the entire period of research work and shall give an undertaking to this effect at the time of registration.
- 6.4 Part-time Ph.D. scholar will not be eligible for any fellowship/scholarship from any source.
- 6.5 The part-time Ph.D. programme shall be for a minimum duration of four years and maximum of eight years.
- 6.6 **Experience:** A candidate who is being registered as a part-time PhD scholar must have at least five years of continuous service experience at a senior level (interpretation of the Vice Chancellor in this regard shall be final) in a recognized educational institutions, Union Government; State Government(s); Armed Forces; PSUs or Corporations listed in BSE or NS E. Candidate must submit a certificate in this regard to the DRC.
- 6.7 **Procedure for Admission:** The final selection of candidates for part-time PhD programme shall be based on a separate test. 70 per cent weightage shall be given to a write-up of 1000 words submitted by the applicant and 30 per cent weightage on the Work Experience; Academic Index; and performance in the Interview/viva voce.
- 6.8 The Departmental Research Committee (DRC) shall be required to propose the names of such scholars (a maximum 03 times the declared number of seats) and seek final approval from the Vice Chancellor. Any matter related to part-time PhD, where the ordinances are silent shall be decided by the Vice Chancellor.



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University of Lucknow

University of Lucknow  
Office of the Admission  
Ph.D. Admissions Guidelines 2021-22

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**Tentative Dateline**

<b>Activity</b>	<b>Dates</b>
Launch of Online Form	Tuesday, July 12, 2022.
Last date of online form submission	Tuesday, August 16, 2022.

**REQUIREMENTS FOR FORM SUBMISSION**

- Valid Email ID
- Aadhar No.



- **Active Mobile Number**
  - Mobile number should be with the applicant at the time of form filling
- **Alternate Mobile Number** (Preferably of parent)
- **Scanned Photo** in JPEG format (Maximum upload size is 50 KB only)
- **Scanned Signature** in jpeg format (Maximum upload size is 50 KB only)
- **It is the sole responsibility of the student to go through the Guidelines as well as the revised Ph.D. ordinance of Lucknow University and check his/ her eligibility at the time of application. We are not verifying the eligibility at the time of application. The eligibility will be verified by the University at the time of Counseling/Admission.**
- **Photo ID proof** (for entering number)
  - Any one of the following for entering the number at the designated place in the online application form:
    - Aadhar Card, Voter ID, Driving License, Passport.
- **Application Fee**
  - General, OBC and EWS Rs. 2000.00
  - SC, ST and PH Rs. 1000.00
- **EWS Certificate**
  - Applicants who want to avail the benefit of Economically Weaker Section (EWS) seats have to choose yes in the personal information page. The certificate for this, issued by the appropriate authority, has to be uploaded on the page where there option of uploading photo and signature. It is compulsory to upload the certificate at the time of form filling.
- **Caste Certificate**
  - The number of caste certificate issued by the competent authority will have to be entered for availing the benefit of reservation for OBC, SC and ST applicants. Validity of these certificates will be verified from the Government website. The certificate for this, issued by the appropriate authority, has to be uploaded on the page where there option of uploading photo and signature. It is compulsory to upload the certificate at the time of form filling.
  - Applicants of OBC (Non Creamy Layer) category would require the following certificates at the time of counseling
    - OBC Certificate issued on or after July 15, 2019.
    - The OBC Non Creamy layer certificate issued before July 15, 2019 will not be considered.
  - The Scheduled Caste, Scheduled Tribes and Other Backward Class candidates originally belonging to Uttar Pradesh will be given the benefit of reservation. Scheduled Castes, Scheduled Tribes and Other Backward Class candidates of other States will be treated as general.
- **Income Certificate**
  - Applicants will have to enter the number of these certificates at the time of filling of the form.
  - The Income certificate has to be issued on or after January 1, 2022 and will be verified through the Government website.
  - Certificates whose details are not available on this website will not be entertained.



- The applicants who do not have a valid income certificate issued by competent authority will not be given benefit of zero fees at the time of admission.

### FORM FILLING

- During the form filling process the applicant can make the entries and save the information.
- If the applicant is unable to fill the form in one sitting or somehow the process is interrupted there is no need to register again. They can login using the credentials sent on their mobile numbers and continue the process.
- Applicants are advised to check all the data they have entered before submitting the application fee. If there is some error they can edit it or start the entire process again. Once they have submitted the application fee the data submitted in the registration page cannot be edited under any circumstances.
- **Step 1 Registration**
  - Applicants have to fill the relevant details in the form. These fields cannot be edited later.
  - Applicants will receive their login details in the mobile number and email ID provided at the time of registration.
- **Step 2 Personal Details**
  - Applicants have to enter their personal details
- **Step 3 Educational Qualifications**
  - Applicants have to fill their educational qualifications.
    - **Enter the marks of all the subjects mentioned in the mark sheets**
- **Step 4 Upload photo, signature and relevant certificate**
  - Applicants have to upload their photo, scanned signature and relevant certificates.
- **Step 5 Preview**
  - Applicants can preview all the entries made at this point. If there is some error they can edit it or start the entire process again. Once they have submitted the application fee the data submitted in the registration page cannot be edited under any circumstances.
- **Step 6 Application Fee**
  - If all the entries in the application form are correct the applicant can submit the form and proceed for payment of the application fees.
  - Form fees can be submitted by any of the following ways
    - Credit Card, Debit Card, Net Banking
- **Step 7 Print Application form**
  - Applicants can print their complete application form and fee receipt to complete the application process.
  - Applicants have to retain a printout of their application form which will be needed later on.
  - **Applicants do not have to send the print out of the application form to the University.**

### Data Editing

- Restricted data can be edited by the candidate by logging into the admission website using the credentials sent to their email and registered mobile number.
  - In case the candidate has missed out on the login details they can regenerate their password by clicking on the **forgot password** link and entering their registration number. The new login details will be sent to their registered mobile number/ e-mail ID.
- The submitted data will be treated as frozen after the last data of submission. Till the last date of submission, applicant can make changes in certain fields like Date of Birth, Gender, Address, etc. Applicant's Name, Father's Name and Mother's Name cannot be edited. Corrections in the category opted will be allowed only for General and OBC applicants.



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**Important Note**

1. In case the payment is not updated immediately, applicants are advised to wait for at least 72 hours before contacting the technical helpline 0522-4150500 during working hours. They are also advised not to make multiple payments. All payments that are successful on the console will get updated automatically. They should note that no late fee or fine would be imposed on them.
2. Applicants who want to avail the benefit of Economically Weaker Section (EWS) seats have to choose yes in the personal information page. The certificate for this can be uploaded on the page where there option of uploading photo and signature. It is not compulsory to upload the certificate at the time of form filling.
3. Applicants of the OBC, SC and ST category have to fill the caste certificate number in the space provided for this. In case they do not have the recent certificate they can enter the number of the old certificate or the acknowledgement number and fill the form. They can provide the certificate later.
4. Applicants of the SC and ST category who want to avail the benefit of zero fee are required to mention the income certificate number in the space provided for this. In case they do not have the recent certificate they can enter the number of the old certificate or the acknowledgement number and fill the form. They can provide the certificate later.