



**Memorandum of Understanding between
University of Lucknow
and
State Transformation Commission, Uttar Pradesh**

“CDPR” The Policy and Development Research Vertical of State Transformation Commission at University of Lucknow

State Transformation Commission, GoUP (STC) and University of Lucknow, Lucknow (LU) agree to establish Policy and Development Research Vertical of State Transformation Commission at University of Lucknow. This vertical will be called “Centre for Development and Policy Research (CDPR)”. The terms and conditions of this MOU are hereinafter set forth;

I. The Scope and Objectives of this Agreement

The *Memorandum of Understanding* will serve as a general framework for institutional co-operation between STC and LU.

THE OBJECTIVES OF THE MOU SHALL BE AS FOLLOWS

CDPR will

1. Support STC in bridging critical development documentation gaps in the state (State Economic Survey; State Development Report etc.)
2. Ranking of all districts of the state on several development indicators to enable STC in evaluation of performance of Districts on several development indicators
3. Interact with stakeholders and support STC in identifying critical development gaps

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4. Identify best practices for possible implementation in the state
5. Support pilots wherever necessary
6. Agroclimatic zone wise study of backwardness in 25 least developed UP districts focussing on structural challenges keeping these districts backward and identifying 3-4 important anchor investments to break this structural vicious cycle.
7. STC may refer issues for possible support through studies etc.

II. Period of Agreement

This Agreement shall be effective upon the date of final execution and will remain in force for a period of three years extendable for another two years annually.

In addition, under exceptional circumstances, either university may terminate the agreement by providing notice to the other party in writing or Similarly State Transformation Commission may terminate the same by giving adequate notice. In case of such eventuality work already assigned will be completed with mutual consent.

III. Activities/ Responsibilities of CDPR, LU and STC Under this Agreement

A. Responsibilities of Lucknow University/ CDPR,

Under this agreement Lucknow University and CDPR will be responsible for the following Activities:

The Host: University of Lucknow

- i. University of Lucknow will host this Development and Policy Vertical of State Transformation Commission (CDPR) at its campus.
- ii. University will provide furnished accommodation for hosting CDPR
- iii. Vice Chancellor along with CEO STC will chair the Governing Board

The Vertical: Centre For Development and Policy Research (CDPR)

CDPR, LU will be responsible for the following:

a. Economic Survey.

CDPR will create an Economic Survey for the state. The Survey will be published annually. It will cover all possible/ relevant segments of the economy like Industry, Health, Education, Rural Development, Agriculture, State Finances, IT etc. The survey will capture the status of the Economy of Uttar Pradesh in the given financial year. It will also attempt to provide an insight into the challenges and opportunities for possible policy intervention. Availability of data from related Departments will

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be critical for timely publication of the Economic Survey. Data Set required will be finalized in consultation with STC.

b. District Ranking

CDPR will develop a system of ranking districts. And all districts will be ranked on several indicators some of these could be related to sectors like Industry, Health, Education, Rural Development, Agriculture etc. Necessary data packages will also be purchased for ensuring availability of data. District Ranking will be released on an annual basis. Indicators on which districts will be ranked will be decided in consultation with UP Transformation Commission. UP Transformation Commission will also facilitate availability of data. For this purpose, Transformation Commission will establish a coordination committee or appoint a nodal officer for ensuring availability of data. Data Set required will be finalized in consultation with STC. There could be certain data gaps CDPR and STC will jointly find ways of circumventing the challenge. After sharing the document with State Transformation Commission a dissemination workshop will be organized jointly with the Transformation Commission.

c. State Development Report

CDPR will create State Development Report (SDR). During the period of this MOU (Five Years) Two SDRs will be created. The document shall consist of expert analysis. The document will consist of around ten chapters. These will be well researched papers throwing policy options. Each paper will be authored by expert(s) of the area and each paper will attempt to provide (1) Current status; (2) Challenges and opportunities; (3) possible intervention options; The document may consist of around ten chapters covering Health, Education, Agriculture, Industry, Environment and Climate Change, Poverty and employment, infrastructure, Banking and finance, State Finances etc.

Similarly regional Development Reports covering four regions of the state will also be developed. In line with the state report these documents shall also consist of expert analysis. These will be well researched papers throwing policy options. Each paper will be authored by expert(s) of the area and each paper will attempt to provide (1) Current status; (2) Challenges and Opportunities; (3) Possible Intervention options.

d. Human Development Report

Just like the state development report the Human Development Report shall consist of expert analysis. These will be well researched papers throwing policy options. Each paper will be authored by an expert of the area and each paper will attempt to provide (1) Current status; (2) Challenges and opportunities; (3) intervention options.

e. Study of structural challenges leading to backwardness in 25 least developed districts of Uttar Pradesh

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CDPR will commission a separate study to understand the backwardness of 25 least developed districts of UP. The study will particularly focus on understanding if structural challenges have kept these districts underdeveloped. Besides studying these districts, the study may require studying some developed districts/ regions as well. As this is a new reference and has not been budgeted in the approved proposal, CDPR may request for a supplementary budget for the same. CDPR will recommend 3-4 international or anchor investments in each of these 25 districts.

f. Suo Motto Research by CDPR And New references by Transformation commission

CDPR will also be empowered to initiate development and policy research on its own to serve the best interests of the state. These studies will attempt to support a system of evidence-based decision making. Transformation Commission will also have the right to send relevant reference to the CDPR for need-based study/ research. CDPR will keep the transformation commission informed regarding initiation of Suo motto research.

B. Responsibilities of STC

STC shall have the following responsibilities:

- a. STC will provide all financial support as agreed in the proposal approved by STC. It will ensure timely release of funds
- b. On signing of the MOU STC will immediately release Rs 2500000/- (Rupees Twenty Five Lac). Next Instalment will be released on spending of 75% of the funds released.
- c. Available survey data will be used for many of the interventions proposed. However, given the mandate such data is likely to fall short of the need. Hence service delivery data may have to be used. CDPR, in consultation with STC, will freeze the indicators on which districts will be ranked and accordingly decide the data set(s) required (similarly for economic survey and other interventions). STC will ensure availability of the above-mentioned data. There could still be challenges of data gap, CDPR and STC will jointly find ways of circumventing the challenge.
- d. STC will issue directions to relevant districts to facilitate CDPR Team visits to districts
- e. For any new reference (which is not budgeted in the approved proposal) made by STC to CDPR, LU appropriate additional funds will be made available by STC. For this CDPR will submit a supplementary budget to STC.
- f. In this MOU the above mentioned "CDPR responsibility III c" (i.e. backward districts study) is not covered in the approved proposal, hence additional funds will be made available for the same. CDPR will submit a supplementary budget for the same.

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IV. Time Line

Intervention wise Timeline for next six years shall be as follows:

Year	2027	2028	2029	2030	2031	2032
Intervention 1	Economic Survey	Economic Survey	Economic Survey	Economic Survey	Economic Survey	Economic Survey
Intervention 2	District ranking	District ranking	District ranking	District ranking	District ranking	District ranking
Intervention 4		SDR			SDR	
Intervention 5			HDR			HDR
Intervention 6				2 Regional report	2 Regional report SDR	

V. Governance System of CDPR

a. Governing Board of CDPR shall be as follows:

1	Vice Chancellor University of Lucknow	Chairman
2	CEO UP Transformation Commission	Co- Chair
3	Chair Professor and Director CDPR	Vice Chairman and Member Secretary
4	Finance Officer	Member
5	Registrar	Member
6	Dean Arts	Member
7	Kiron Chopra	Industry Member

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Board of Governors will meet at least once every six months and review the working of the vertical and provide appropriate guidance, wherever necessary.

b. Advisory Board

CDPR will be supported by an Advisory Board. This shall include persons from different relevant field. Structure of Advisory Board shall be as follows:

1	Vice Chancellor University of Lucknow	Chairman
2	CEO UP Transformation Commission	Co- Chair
3	Director CDPR cum Chair Professor	Vice Chairman and Member Secretary
4	Dean Arts, LU	Member
5	HoD Economics, LU	Member
6	HoD Statistics	Member
7	CII UP State Council Representative	Member
8	Chairman PHD Chamber of Commerce UP	Member
9	IIA Representative	Member
10	Director IIM Lucknow or his nominee	Member
11	Dr Harshvardhan, SGPGI	Member
12	President Policy Think Tank	Member
13	Industry Representative	Member
14	Industry Representative	Member
15	Piyush Anthony UNICEF Representative	Member

CDPR will have the right to make changes in the advisory board, as and when required. Advisory board will meet at least once in every six months.

VI. Chair Professor

This assignment of State/ National Importance needs a team leader who besides illustrious academic career also possesses experience with institutions like The World Bank, UN agencies and experience of handling multiple UP government assignments.

Given the above and in line with the approved proposal Prof Arvind Mohan currently Professor of Economics and Dean Faculty of Arts, LU will be Chair Professor and Director CDPR for period of this MOU. Prof Arvind Mohan will be the team leader for this assignment. He will also be the Principal Consultant for all modules/ interventions of this MOU.

The approved proposal and the budget are presented in modular form (segregated into independent interventions). Prof Mohan will be principal consultant for each module/

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intervention. Other consultants may also be hired, from within the University and/ or from outside, to support Prof Mohan's work.

VII. The Finances

- A. All expenditures will be strictly in line with the budget, as approved by the Transformation Commission
- B. University will open a separate account for this Transformation Commission vertical. The Account will be jointly operated by The Finance Officer University Of Lucknow and Chair Professor.
- C. Assistant Professors/ Research Fellow (mentioned as Research Associate in proposal) will be appointed by Committee Chaired by Vice Chancellor. Research Assistant and Office Assistant will be appointed by the Committee headed by the Chair Professor.
- D. Consultancy payments will be approved by the Vice Chancellor on the recommendation of Chair Professor. Consultants will be identified by Chair Professor. He will propose such appointment, as well as the consultancy amount to be paid for the specific assignment.
- E. For payments approved (salary etc.) under this budget no additional approval will be required.
- F. For travel etc. (if it is not clearly specified in the approved budget) University standard approved rates will apply.
- G. Wherever necessary hotel accommodation will be hired by traveling team. Per day payment of up to Rs 4000/- per person will be approved by Chair Professor. Higher payments will be approved by Vice chancellor. As far as possible government guest houses may be used.
- H. Accommodation for Seminar/ Workshop/ Round Table meets for visiting experts/ dignitaries will be hired. Payments up to Rs 6000/- per person per day will be approved by Chair Professor. Higher payment will be approved by VC.
- I. For anything which is not clearly stated in this MOU, wherever necessary in the best interest of this assignment payments/ rates will be approved by VC.
- J. For any new reference or any activity which is not covered in this budget request for supplementary budget will be submitted by CDPR, LU to STC
- K. STC Approved Budget is annexed (Annexure I) will be part of this MOU.
- L. Accounts will be Audited Annually.

VIII. Non-discrimination

The University of Lucknow and STC agree that no person shall on the grounds of race, religion, colour, sex, age, national origin or ancestry, marital status, parental status, sexual orientation, gender identity expression, disability, or status as a veteran be discriminated under this Agreement.

IX. Contingencies and Risk

In exceptional situation if Team Leader Professor Arvind Mohan moves out as Vice Chancellor of some other state University it is agreed that he will continue to be the team leader and will continue to guide the project. In the event of such eventuality need based changes in the approved budget etc. will be made (such changes will not lead to any increase in total expenditure).

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X. Modification

The terms of this Agreement may be changed or modified only by written amendment signed by authorized agents of the parties hereto.

XI. Prevailing Language

This document is executed in English Language. If any other version is provided as a translation, then the English version will prevail.

XII. The Start Date

This agreement shall come into effect from the date of signing by representatives of both institutions and continue for at least six years.

**For and on Behalf of
University of Lucknow**

**Registrar
University of Lucknow**

Witness

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**For and on Behalf of
State Transformation Commission.**

**CEO
Transformation Commission, GoUP**

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